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Approved for use through 10/31/2002: OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/056,394
		Filing Date	January 24, 2002
		First Named Inventor	Ron Pang Cheng Chuan
		Group Art Unit	2633
		Examiner Name	Unassigned
Total Number of Pages in This Submission	12	Attorney Docket Number	3918P016

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">5 Prior Art References</div>
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	September 20, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: <div style="text-align: right;">September 20, 2002</div>			
Typed or printed name	Susan McFarlane		
Signature		Date	September 20, 2002

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SEP 24 2002

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

- 0

**Complete if Known**

Application Number	10/056,394
Filing Date	January 24, 2002
First Named Inventor	Ron Pang Cheng Chuan
Examiner Name	Unassigned
Group/Art Unit	2633
Attorney Docket No.	3918P016

**METHOD OF PAYMENT (check one)**

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

**2. EXTRA CLAIM FEES**

Total Claims  =  X  =   
 Independent Claims  =  X  =   
 Multiple Dependent  =  X  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater. For Reissues, see below.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)

William E. Alford

Registration No.  
(Attorney/Agent)

37,764

Telephone

(714) 557-3800

Signature

Date

09/20/02

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 SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No.: 3918P016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RON PANG CHENG CHUAN, ET AL.

Application No.: 10/056,394

Filed: January 24, 2002

For: **METHOD AND APPARATUS OF  
PULL-LEVEL RELEASE FOR FIBER  
OPTIC MODULES**

Art Group: 2633

Examiner: Unassigned

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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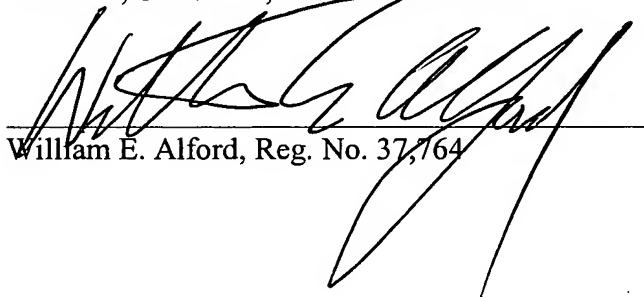
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

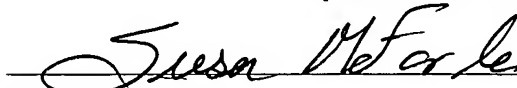
Date: September 20, 2002

  
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William E. Alford, Reg. No. 37,764

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Los Angeles, California 90025  
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Susan McFarlane

09-20-02

Date



Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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#4/EFD3 2839  
SEP 26 2002  
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9/27/02  
E. Perkins

EFS ID: 18244  
Application ID: 10056394  
Title of Invention: METHOD AND APPARATUS OF  
PULL-LEVER RELEASE FOR FIBER  
OPTIC MODULES  
First Named Inventor: Ron Pang Chaung  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-01-24  
Effective Receipt Date: 2002-09-24  
Submission Type: Information Disclosure Statement  
Filing Type: null  
Confirmation Number: 2609  
Attorney Docket Number: 3918P016  
Digital Certificate Holder: cn=James A. Henry, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: vd1IKm+N6vyHVppsYMCceg==





# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Information  
Disclosure Statement

Application

Number:

Attorney Docket

Number:

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10/056,394  
TECHNOLOGY CENTER 2800

## METHOD AND APPARATUS OF PULL- LEVER RELEASE FOR FIBER OPTIC MODULES

First Named Inventor: Ron Pang Cheung Chaung

### SUBMITTED BY

Name:

Julio Loza

Registration Number:

47,758

Electronic Signature Mark: /Julio  
Loza/

Date Signed: 20020924

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Attached Files:

us-information-disclosure-statement

P016part2ids.xml



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# Electronic Information Disclosure Statement

## METHOD AND APPARATUS OF PULL LEVER RELEASE FOR FIBER OPTIC MODULES

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Application:   
10/056394

Confirmation: 2609

Applicant(s): Ron Pang Chaung

Docket  
Number: 3918P016

Group Art  
Unit: 2609

Examiner: Not Assigned

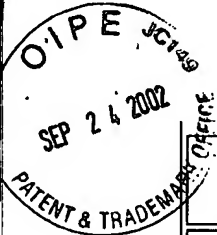
search string: ( 3,803,409 or 3,806,225 or 3,809,908 or 3,951,514 or 4,140,367 or 4,149,072 or 4,167,303 or 4,268,114 or 4,273,413 or 4,406,514 or 4,427,879 or 4,439,006 or 4,449,784 or 4,470,660 or 4,477,146 or 4,522,463 or 4,548,467 or 4,553,813 or 4,553,814 or 4,611,887 or 4,678,264 or 4,684,210 or 4,737,008 or 4,762,388 or 4,767,179 or 4,787,706 or 4,798,440 or 4,798,430 or 4,840,451 or 4,944,568 or 4,960,317 or 4,969,924 or 4,986,625 or 5,005,939 or 5,016,968 or 5,042,891 or 5,071,219 or 5,073,045 or 5,073,046 or 5,076,656 or 5,082,344 or 5,091,991 or 5,099,307 or 5,101,463 or 5,109,453 or 5,113,467 or 5,117,476 or 5,125,849 or 5,134,679 or 5,140,663 or 5,142,597 or 5,155,786 or 5,159,652 or 5,199,093 or 5,243,678 or 5,259,052 or 5,274,729 or 5,283,680 or 5,295,212 or 5,315,679 or 5,317,663 or 5,325,454 or 5,329,604 or 5,333,221 or 5,337,396 or 5,361,318 or 5,390,268 or 5,398,295 or 5,452,388 or 5,469,526 or 5,481,634 or 5,515,468 or 5,528,408 or 5,548,677 or 5,600,470 or 5,604,831 or 5,896,480 or 6,206,582 or 6,341,899 or 6,369,924 or Des.389,802 or Des.446,501 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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P01	3,803,409	1974-04-09	PROCHAZKA	Prochazka
P02	3,806,225	1974-04-23	CODRINO	Codrino
P03	3,809,908	1974-05-07	CLANTON	Clanton
P04	3,951,514	1976-04-20	MEDINA, JR.	Medina, Jr.
P05	4,140,367	1979-02-20	MAKUCH ET AL.	Makuch et al.
P06	4,149,072	1979-04-10	SMITH ET AL.	Smith et al.
P07	4,167,303	1979-09-11	BOWEN ET AL.	Bowen et al.
P08	4,268,114	1981-05-19	dAURIA ET AL.	dAuria et al.
P09	4,273,413	1981-06-16	BENDIKSEN ET AL.	Bendiksen et al.
P10	4,406,514	1983-09-27	HILLEGONDS ET AL.	Hillegonds et al.
P11	4,427,879	1984-01-24	BECHER ET AL.	Becher et al.
P12	4,439,006	1984-03-27	STEVENSON	Stevenson
P13	4,449,784	1984-05-22	BASOV ET AL.	Basov et al.
P14	4,470,660	1984-09-11	HILLEGONDS ET AL.	Hillegonds et al.
P15	4,477,146	1984-10-16	BOWEN ET AL.	Bowen et al.
P16	4,522,463	1985-06-11	SCHWENDA ET AL.	Schwenda et al.
P17	4,548,467	1985-10-22	STOERK ET AL.	Stoerk et al.
P18	4,553,813	1985-11-19	McNAUGHTON ET AL.	McNaughton et al.
P19	4,553,814	1985-11-19	BAHL ET AL.	Bahl et al.

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P20	4,611,887	1986-09-16		Glover et al.
P21	4,678,264	1987-07-07		Bowen et al.
P22	4,684,210	1987-08-04		Matsunaga et al.
P23	4,737,008	1988-04-12		Ohyama et al.
P24	4,762,388	1988-08-09		Tanaka et al.
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P26	4,787,706	1988-11-29		Cannon, Jr. et al.
P27	4,798,440	1989-01-17		Hoffer et al.
P28	4,798,430	1989-01-17		Johnson et al.
P29	4,840,451	1989-06-20		Sampson et al.
P30	4,944,568	1990-07-31		Danbach et al.
P31	4,960,317	1990-10-02		Briggs et al.
P32	4,969,924	1990-11-13		Suverison et al.
P33	4,986,625	1991-01-22		Yamada et al.
P34	5,005,939	1991-04-09		Arvanitakis et al.
P35	5,016,968	1991-05-21		Hammond et al.
P36	5,042,891	1991-08-27		Mulholland et al.
P37	5,071,219	1991-12-10		Yurtin et al.
P38	5,073,045	1991-12-17		Abendschein

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P39	5,073,046	1991-12-17	Edwards et al.
P40	5,076,656	1991-12-31	Briggs et al.
P41	5,082,344	1992-01-21	Mulholland et al.
P42	5,091,991	1992-02-25	Briggs et al.
P43	5,099,307	1992-03-24	Go et al.
P44	5,101,463	1992-03-31	Cubukciyan et al.
P45	5,109,453	1992-04-28	Edwards et al.
P46	5,113,467	1992-05-12	Peterson et al.
P47	5,117,476	1992-03-26	Yingst et al.
P48	5,125,849	1992-06-30	Briggs et al.
P49	5,134,679	1992-07-28	Robin et al.
P50	5,140,663	1992-08-18	Edwards et al.
P51	5,142,597	1992-08-25	Mulholland et al.
P52	5,155,786	1992-10-13	Ecker et al.
P53	5,159,652	1992-10-27	Grassin D'Alphonse et al.
P54	5,199,093	1993-03-30	Longhurst
P55	5,243,678	1993-09-07	Schaffer et al.
P56	5,259,052	1993-11-02	Briggs et al.
P57	5,274,729	1993-12-	King et al.

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 PATENT & TRADEMARK OFFICE

			28		
	P58	5,283,680	1994-02-01		Okugawa et al.
	P59	5,295,212	1994-03-15		Morton et al.
	P60	5,315,679	1994-05-24		Baldwin et al.
	P61	5,317,663	1994-05-31		Beard et al.
	P62	5,325,454	1994-06-28		Rittle et al.
	P63	5,329,604	1994-07-12		Baldwin et al.
	P64	5,333,221	1994-07-26		Briggs et al.
	P65	5,337,396	1994-08-09		Chen et al.
	P66	5,361,318	1994-11-01		Go et al.
	P67	5,390,268	1995-02-14		Morlion et al.
	P68	5,398,295	1995-03-14		Chang et al.
	P69	5,452,388	1995-09-19		Rittle et al.
	P70	5,469,526	1995-11-21		Rawlings
	P71	5,481,634	1996-01-02		Anderson et al.
	P72	5,515,468	1996-05-07		DeAndrea et al.
	P73	5,528,408	1996-06-18		McGinley et al.
	P74	5,548,677	1996-08-20		Kakii et al.
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P76	5,604,831	18		Dittman et al.
P77	5,896,480	1999-04-20		Scharf et al.
P78	6,206,582	2001-03-27		Gilliland
P79	6,341,899	2002-01-29		Shirakawa et al.
P80	6,369,924	2002-04-09		Scharf et al.
P81	Des.389,802	1998-01-27		Vernon
P82	Des.446,501	2001-08-14		Donnell et al.

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## Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. \* Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. \* This Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. \* Applicants respectfully requests that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record. \*

## Signature

Examiner Name	Date